Docket Number (Ontional)

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TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING

## REJECTION OVER A "PRIOR" PATENT In re Application of: David Alan Baldwin et al. Application No.: 10/812,409 Filed: March 29, 2004 For, System and Method for Performing Sputter Etching Using Independent Ion and Electron Sources And A Substrate Biased With An A-Symmetric BI-Polar DC Pulse Signal , of 100 percent interest in the instant application hereby disclaims, The owner\*, 4Wave, inc. except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond as the term of said prior patent is defined in 35 U.S.C. 154 the expiration date of the full statutory term prior patent No. 6679976 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns in making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later; expires for failure to pay a maintenance fee: is held unenforceable. is found invalid by a court of competent jurisdiction:

Check either box 1 or 2 below, if appropriate,

is reissued: or

has all claims canceled by a reexamination certificate:

belief are believed to be true, and further that these statements were made with the knowledge that willful fails made are punishable by fine or impresonment, or both, under Section 1001 of Title 18 of the United States Co- statements may leopardize the validity of the application or any patent issued thereon.	statements and the like so
The undersigned is an attorney or agent of record. Reg. No. 33701	
//Denlet H. Golub/ Signature	August 22, 2007 Date

is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer,

For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency,

Daniel H. Golub
Typed or printed name

215-963-5055
Telephone Number

Terminal disclaimer fee under 37 CFR 1.20(d) included.

is statutorily discisimed in whole or terminally disclaimed under 37 CFR 1.321:

etc.), the undersigned is empowered to act on behalf of the business organization.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP 8 324.

The collection of information is required by 3T CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to pursues) and applicant Conditionability is payment by \$8.13.61.12.9 and \$7.09 H.1 stell 1.141. This collection is estimated in already to make a transfer including againstring, preparing, and estimating the completed application form to the USPTO. The suit suy depending upon the administration of the completed application form to the USPTO. The suit suy depending upon the complete file from another amount of the control of the complete file from another supportance for reducing this business, the suit to the Chief Individual case. Any comments on the amount of their year regime to complete this form and/or supportance for reducing this business, should be and to the Chief Individual case. Any comments and transferrant Cities, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 L. 9.C. 2(b)(2); (2) furnishing of the information solicited is voluntary, and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2 A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neodicitations.
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- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a/m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S. C. 122(b) or issuance of a patient pursuant to 35 U.S. C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filled in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patient.
- 9 A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or reculation.